

JC975-U.S. PTO  
12/28/01

01-04-02

A

Atty. Dkt. No. 047711-0284

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Colin Chong et al.  
Title: BARRIER CATHETER  
APPARATUS AND METHOD  
Appl. No.: Unknown  
Filing Date: CONCURRENTLY HEREWITH  
Examiner: Unknown  
Art Unit: Unknown

J1046 U.S. PTO  
10/036081  
12/28/01

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional  
utility patent application of:

Colin Chong  
Sylmar, California 91342

Keith Oberg  
Sylmar, California 91342

Paul Mounce  
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Peter Lord  
Valencia, California 91355

William P. Van Antwerp  
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40036081-426081

☐ Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (29 pages).
- ☒ Informal drawings (6 sheets, Figures 1-6).
- ☐ Declaration and Power of Attorney (\_\_\_ pages).
- ☐ Assignment of the invention to
- ☐ Assignment Recordation Cover Sheet.
- ☐ Small Entity statement.
- ☐ Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).
- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of \_\_\_ listed reference(s).
- ☐ Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$740.00	\$740.00
Total Claims:	54	- 20	= 34	x \$18.00	= \$612.00
Independents:	10	- 3	= 7	x \$84.00	= \$588.00
If any Multiple Dependent Claim(s) present:			+	\$280.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee			+	\$130.00	= \$130.00
				SUBTOTAL:	= \$2070.00
<input type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):					= \$0.00
				TOTAL FILING FEE	= \$2,070.00

- ☐ A check in the amount of \$0.00 to cover the filing fee is enclosed.
- ☒ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.

- [ ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date December 28, 2001

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By



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 047711-0284

In re patent application of

Colin Chong et al.

Group Art Unit: Unknown

Appl. No. Unknown

Examiner: Unknown

Filing Date: CONCURRENTLY HEREWITH

For: BARRIER CATHETER APPARATUS AND METHOD

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Commissioner for Patents  
Box Patent Applications  
Washington, D.C. 20231

Sir:

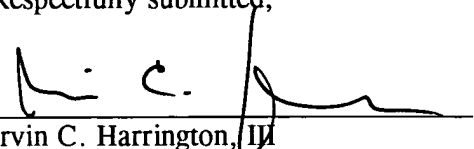
I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as Express Mail (Express Mail Label No. EL926457614US) under 37 C.F.R. § 1.10 on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Box Patent Applications, Washington D.C. 20231.

1. Utility Patent Application Transmittal
2. Specification, Claims, and Abstract (29 pages)
3. Informal Drawings (6 sheets, Figures 1-6)
4. Postcard

Respectfully submitted,

December 28, 2001

Date

  
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